United States Bankruptcy Court Central District of California



TRANSCRIBER INFORMATION & PROCEDURES:

Including CM/ECF Transcript Filing & Upload

(rev. November 25, 2013)

Table of Contents

1.	ELECT	ELECTRONIC AVAILABILITY OF TRANSCRIPTS			
2.	PACE	R ACCESS	S FOR TRANSCRIBERS	1	
3.	CASE	NUMBER	1 S	1	
4.			ES		
5.			S		
6.	TRAN	SCRIPT FO	ORMATTING STANDARDS	3	
	6.1.	TITLE PA	GE	3	
7.	OVER	VIEW OF	TRANSCRIPT ORDERING PROCESS	3	
	7.1.	TRANSCE	RIPT ORDER FORM	3	
	7.2.		RIPT RECORD TRANSMITTAL		
	7.3.	TRANSCR	RIPT	4	
8.	TRAN	SCRIBER I	REGISTRATION AND TRAINING TO ACCESS CM/ECF	5	
9.	FILING TRANSCRIPTS ELECTRONICALLY				
	9.1.	SIGNATU	JRE LINE	5	
	9.2.	DOCUMENT FORMAT			
	9.3.	How to	FILE A TRANSCRIPT		
		9.3.1.	BANKRUPTCY CASE DOCKET (AKA MAIN CASE DOCKET) PROCEDURES		
		9.3.2.	ADVERSARY PROCEEDING CASE DOCKET PROCEDURES		
		9.3.3.	REDACTED TRANSCRIPT PROCEDURES		
		9.3.4.	CORRECTING ERRORS		
10.	MON	ITORING I	FILED TRANSCRIPTS	31	
	10.1.	DEADLIN	VES	31	
	10.2.		SIBILITY OF ATTORNEYS AND TRANSCRIBERS		
	10.3.	REDACTI	ION REQUESTS - WHAT IS ALLOWED	32	
11.	RESTE	RICTED TR	RANSCRIPTS	32	
	11.1.	Access 1	TO RESTRICTED TRANSCRIPTS	32	
	11.2.	Notifyi	NG THE COURT OF A PURCHASED COPY OF A RESTRICTED TRANSCRIPT	33	
12.	CONT	ACTING	THE FCE HELP DESK	33	

1. ELECTRONIC AVAILABILITY OF TRANSCRIPTS

In September 2007, the Judicial Conference adopted a plan that incorporates transcripts of court proceedings in the electronic case file system, while recognizing the court reporters' statutory authority to sell copies of transcripts. CM/ECF release 3.3.1 incorporates this policy. Under this policy a transcript may be viewed (but not printed) in CM/ECF at the court's public terminal for 90 days after the filing of the transcript.

In order to meet the strict deadlines associated with the restriction of the transcripts, it is necessary to require that the transcribers file the Transcripts electronically using the CM/ECF System.

2. PACER ACCESS FOR TRANSCRIBERS

The PACER account is needed to **VIEW** documents only. It will allow you to **access the document once - without being charged PACER fees.** When the document is opened for the first time, it should be either **Printed** or **Saved** to a local computer for future reference. Accessing the same document twice may incur PACER fees.

3. CASE NUMBERS

In the Central District of California, the complete case number(s) must be noted on the title page of a transcript. There are two types of case numbers:

- Bankruptcy Case (or "Main Case") number.
- Adversary Proceeding (or "Adversary Case") number.

For a transcript on an adversary proceeding, both the main case number and adversary proceeding case number should be noted on the title page. Below are examples of complete case numbers.

Breakdown of a Complete Case Number							
	Dankid Blog Guot. 6.11 Bit 21212 Wo						
Division Code	Year Case was Opened	Case Type	Case Number	Judge			
6	11	BK	21212	WJ			
6	11	AP	01830	WJ			

4. DIVISION CODES

Courthouse locations are referred to as "Divisions" or "Divisional Offices." Each division uses the same series of case numbers. Therefore, CM/ECF places a division code at the beginning of every case number. You should become familiar with the division code numbers as this will aid in locating the appropriate case when filing a transcript in CM/ECF.

USBC - Central District of California				
Division Code	Division	Courthouse Location		
1	San Fernando Valley	Woodland Hills		
2	Los Angeles	Los Angeles		
6	Riverside	Riverside		
8	Santa Ana	Santa Ana		
9	Northern	Santa Barbara		

5. JUDGE INITIALS

Each judge has a two-character code (usually the judge's initials). When a case number is assigned to a judge, that judge's code is the last two characters of a case number. Below are the current judge codes as of this writing. For the most current information regarding case numbers and judge codes, go to the Court's website http://www.cacb.uscourts.gov/rules-procedures, Court Manual, Section 1.5, "Case and Adversary Numbers"

Judge	Code	Judge	Code
Alan M. Ahart	AA	Sandra R. Klein	SK
Theodor C. Albert	TA	Robert Kwan	RK
Neil W. Bason	NB	Geraldine Mund	GM
Catherine E. Bauer	СВ	Richard M. Neiter	RN
Sheri Bluebond	BB	Robin L. Riblet	RR
Julia W. Brand	WB	Ernest M. Robles	ER
Peter H. Carroll	PC	Barry Russell	BR
Scott C. Clarkson	SC	Deborah J. Saltzman	DS
Mark M. Houle	MH	Erithe A. Smith	ES
Thomas B. Donovan	TD	Kathleen Thompson	KT
Wayne E. Johnson	WJ	Maureen A. Tighe	MT
Meredith A. Jury	MJ	Mark S. Wallace	MW
Victoria S. Kaufman	VK	Vincent P. Zurzolo	VZ

6. TRANSCRIPT FORMATTING STANDARDS

- Review the Court's Request for Proposal and Scope of Work, Transcription Service
 Providers Project dated March 4, 2013
- See the Guide to Judiciary Policy, Volume 6: Court Reporting, Chapter 5: Transcripts at http://www.uscourts.gov/uscourts/FederalCourts/Publications/Guide Vol06.pdf

6.1. Title page

- Bankruptcy Case (aka Main Case): See example "Mock Transcript_bk" at www.cacb.uscourts.gov > CM/ECF Training and Registration > CM/ECF Online Training Modules.
- Adversary Proceeding: Must be double-captioned and include the main bankruptcy case number as well as the adversary proceeding number per the <u>Court Manual</u>, <u>section 2.5(c)</u> <u>Caption and Format of Title Page</u> at www.cacb.uscourts.gov > Rules & Procedures > Court Manual. See example <u>"Mock Transcript ap"</u> at www.cacb.uscourts.gov > CM/ECF Training and Registration > CM/ECF Online Training Modules.

7. OVERVIEW OF TRANSCRIPT ORDERING PROCESS

Effective November 25, 2013, there are new docket events related to the Transcript Order Form that are designed to allow anyone with PACER access to track the status of a transcript order:

- Transcript Order Form
- Transcript Record Transmittal

With these new events putting more information regarding the ordering of a transcript onto the docket, ECF filers should be able to identify which hearing is being transcribed and know who to contact for a copy.

7.1. Transcript Order Form

The transcript-ordering party with an ECF account must file the Transcript Order Form in CM/ECF on the related case docket using the event code, "*Transcript Order Form (Public Request)*." Self-represented litigants and parties without an ECF account may hand-deliver or mail the form to the division where the hearing was held. The Clerk's Office will file a transcript order form on behalf of a self-represented litigant and the occasional party who does not have an ECF account. The Clerk's Office will also file a transcript order form for a judge.

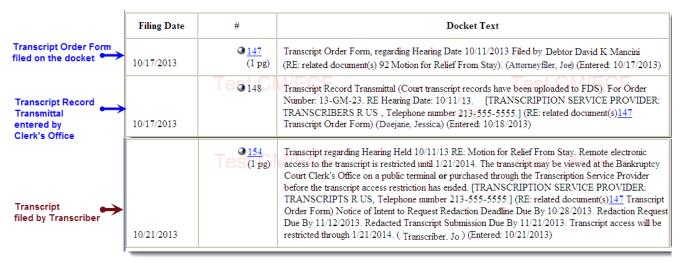
NOTE: Transcriber cannot file a Transcript Order Form on behalf of a client. The Court does not take transcript orders via fax, email or telephone.

7.2. Transcript Record Transmittal

Clerks must prepare the records necessary for the transcriber to create the transcript. Upon transmitting the order form, audio, log notes, and other related materials to the transcriber—typically via the Court's new File Download Site (FDS)—the clerk will then docket the *Transcript Record Transmittal* and relate it back to the transcript order form. *Transcript Record Transmittal* puts information onto the case docket for the public, the transcriber, and staff, such as the transcript order number, the hearing date being transcribed, and the name and phone number of the transcription company that now has the Court's materials. Parties can now see when to contact a transcriber for status updates.

7.3. Transcript

Upon completing a transcript, the transcriber shall file and upload it to CM/ECF. The transcript PDF is restricted to Court staff and public computer terminal viewing for 90 days (see *Deadlines for Monitoring Filed Transcripts*).



Tracking Transcript Status Example

8. TRANSCRIBER REGISTRATION AND TRAINING TO ACCESS CM/ECF

In order to file transcripts electronically for the United States Bankruptcy Court for the Central District of California, transcribers (i.e. limited access filers) must register and either provide proof of proficiency in ECF or complete an online training course.

To obtain a CM/ECF registration form, contact the ECF Help Desk. (See last page of this booklet for contact information.)

9. FILING TRANSCRIPTS ELECTRONICALLY

9.1. Signature Line

IMPORTANT! Before your document is converted into a PDF file, ensure that signature lines have an **/s/** followed by your typed name. The use of a registered ECF User's login and password to file a document electronically shall constitute the signature of the registered ECF User on the document being electronically filed.

9.2. Document Format

Before accessing the CM/ECF system, ensure that your document:

- Is converted to portable document format (PDF) for electronic filing.
- File size does not exceed 5 megabytes or 5MB. If the file size exceeds 5MB, break up the transcript into more than one PDF file before uploading (you may include up to 5 attachments).

The following docket events will be used by the transcribers when filing a transcript on both Bankruptcy Cases and Adversary Proceedings:

- Transcript
- Redacted Transcript

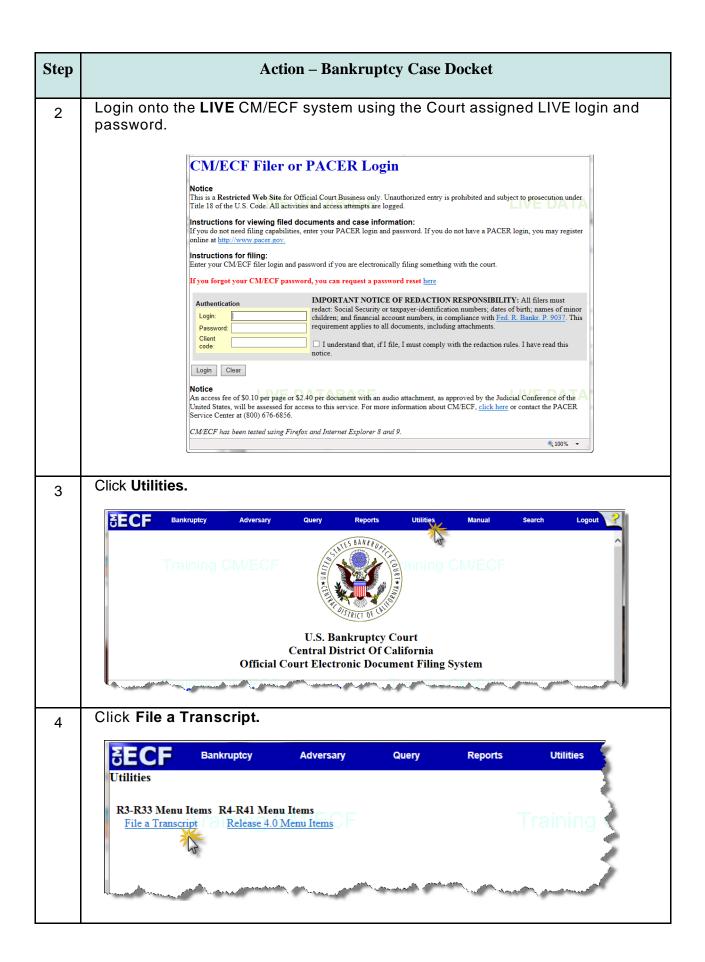
To access these docket events in CM/ECF, from the blue Main Menu bar select *Utilities* > *File a Transcript*.

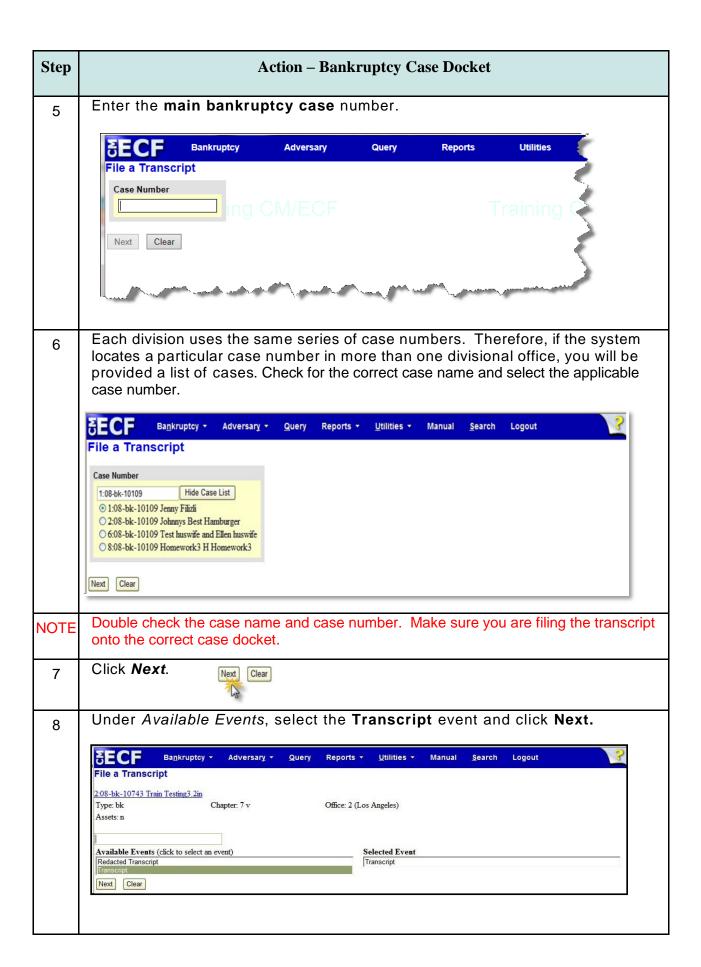
TIMEOUT WARNING! Complete entries within a period of 20 minutes. CM/ECF will time out your session after 20 minutes of being idle.

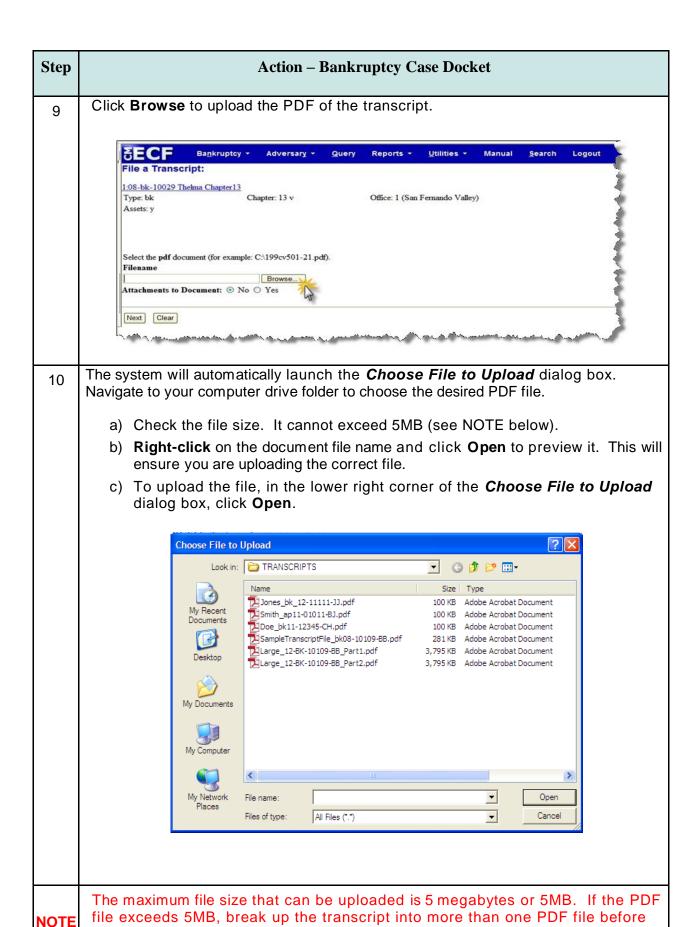
9.3. How to File a Transcript

9.3.1. Bankruptcy Case Docket (aka Main Case Docket) Procedures

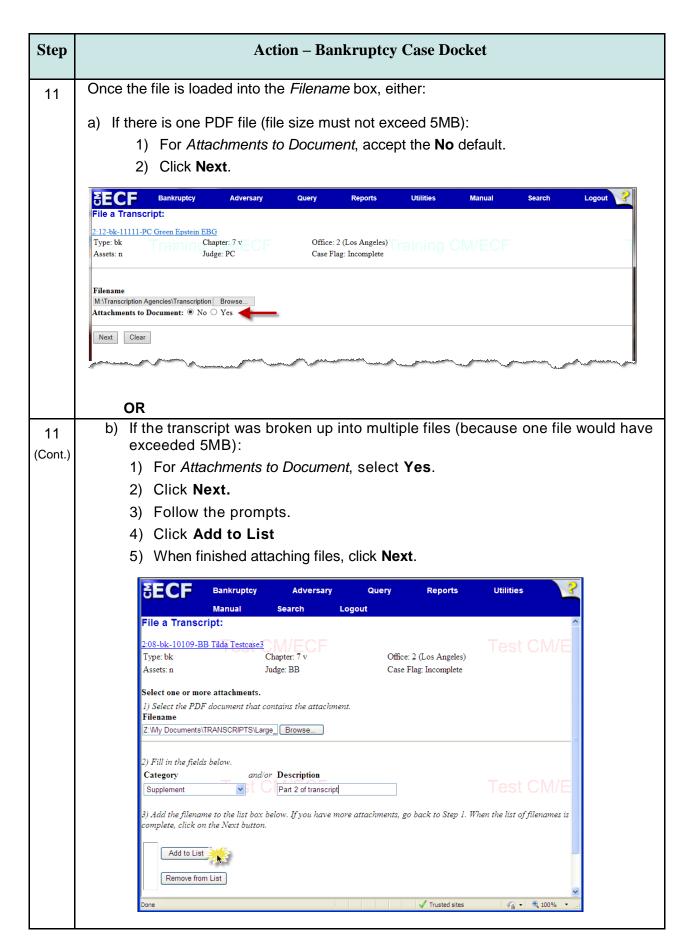


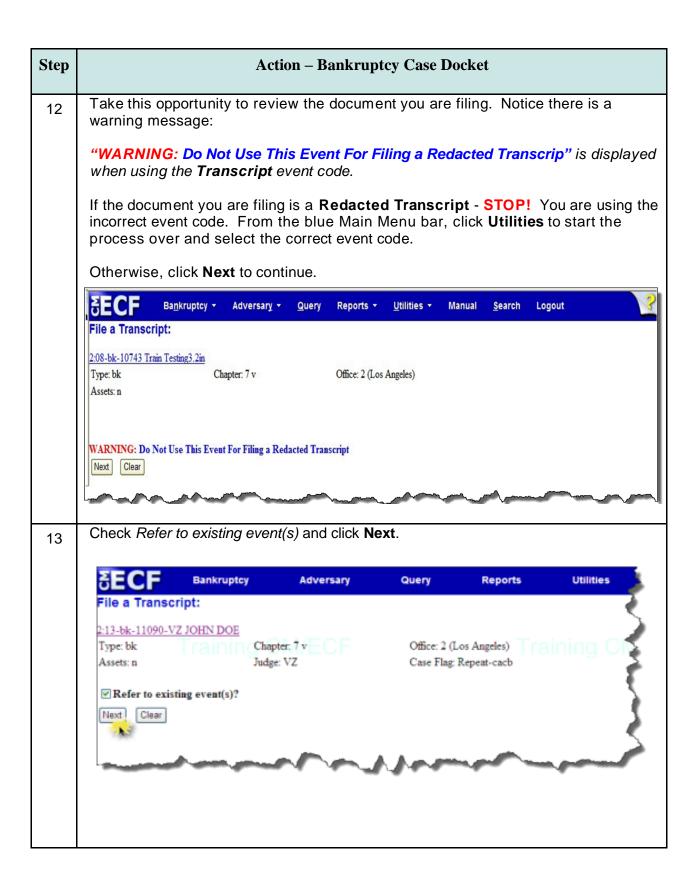


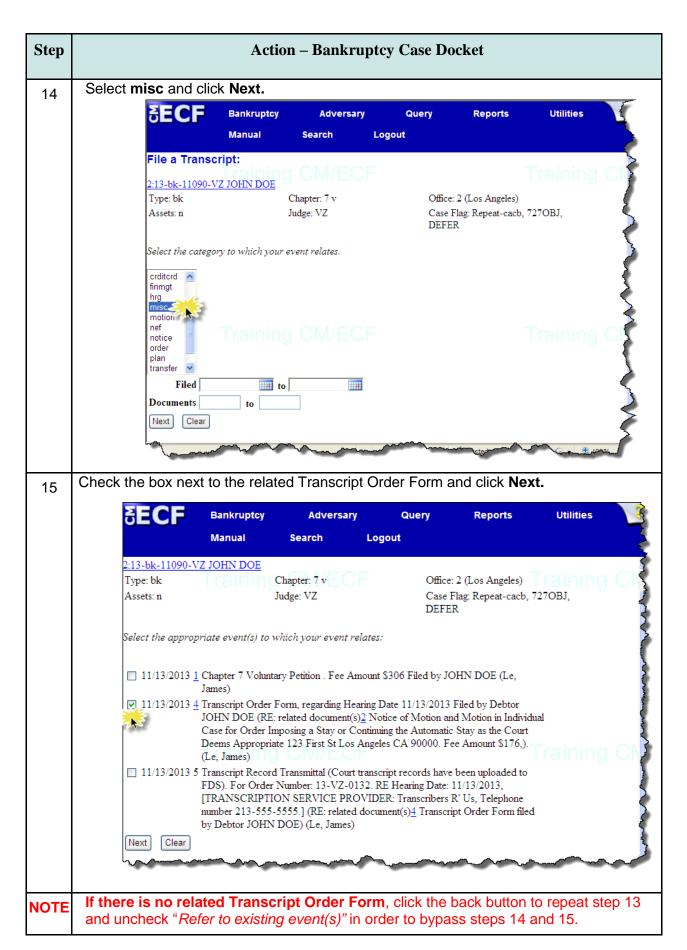


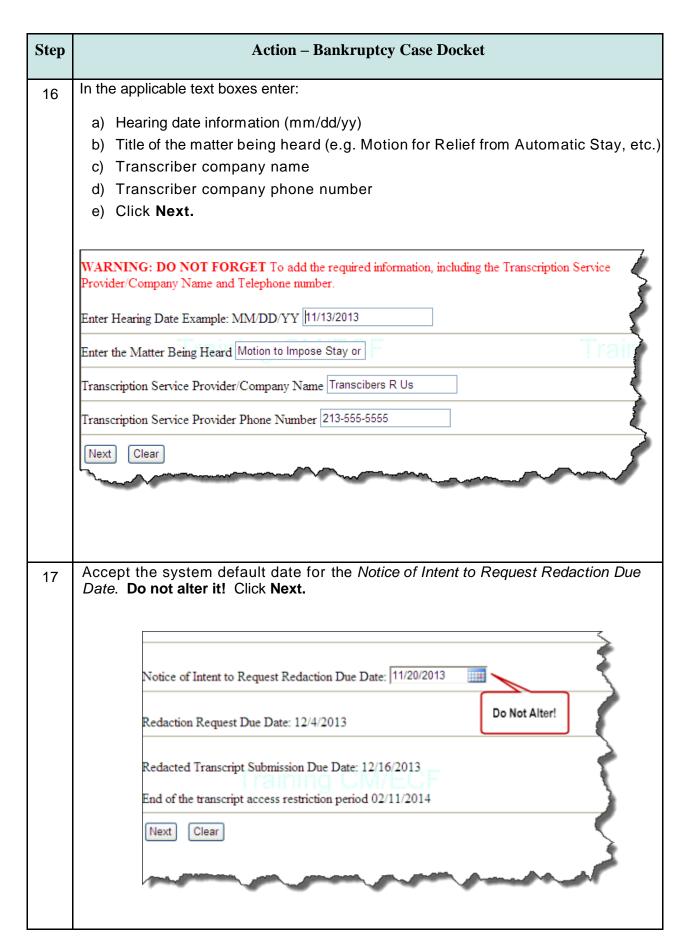


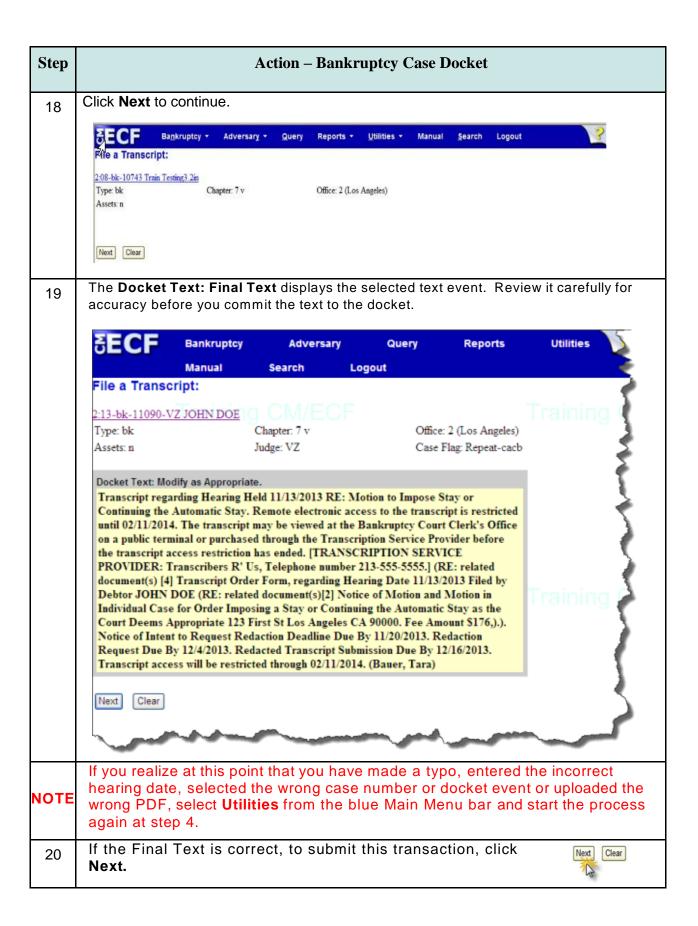
continuing. You may upload up to 5 attachments.











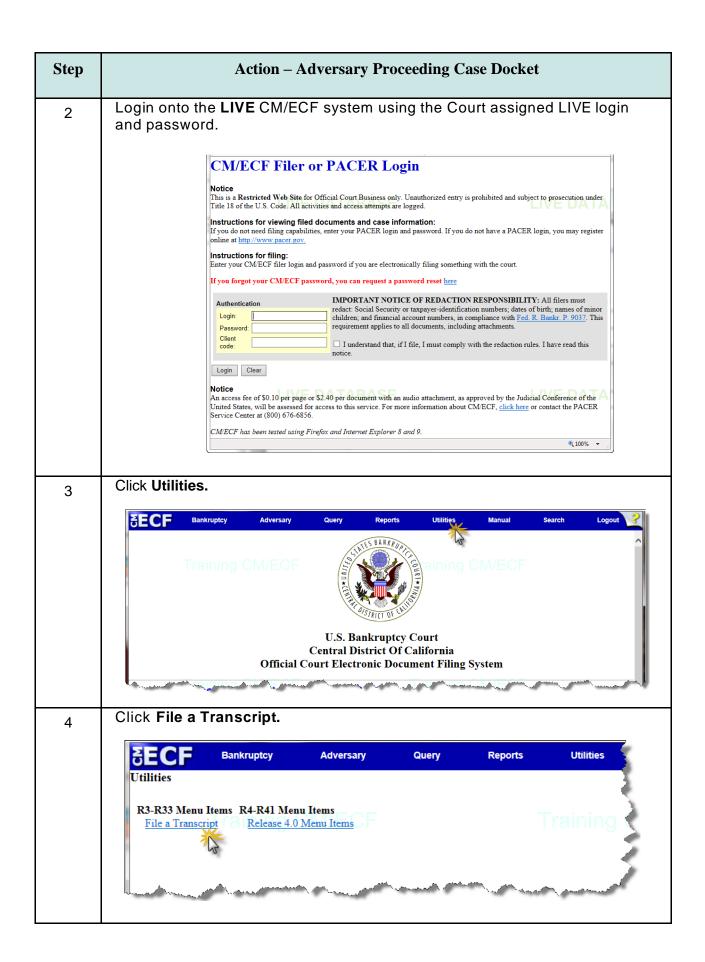
Step **Action – Bankruptcy Case Docket** The Notice of Electronic Filing (NEF) is automatically generated and will display. 21 Included in this notice are the date and time the document was filed, the case number and document number issued and electronic service information. Print this notice or save it in your computer for future reference. **SECF** Bankruptcy + Adversary + Query Reports + Utilities + Manual Search Logout The following transaction was received from Testing. Transcriber entered on 10/15/2008 at 1:46 PM PDT and filed on 10/15/2008 Case Name: Mary Ogier Matlin
Case Number: 1:08-bk-10029 Document Number: 3 Docket 1 ext:

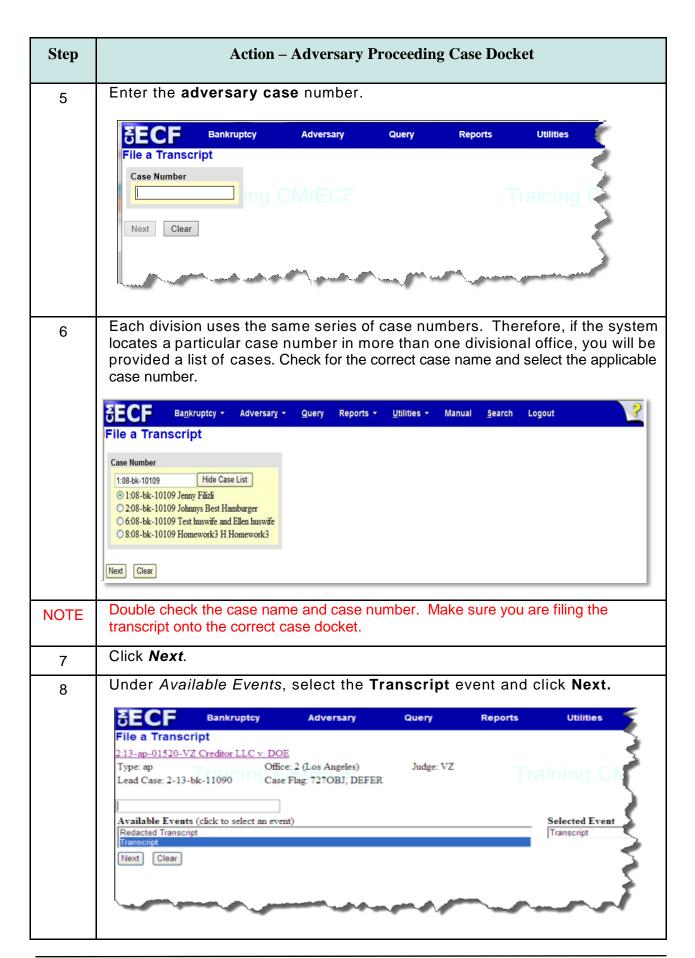
Transcript regarding Hearing Held 7/18/08 RE: Motion for Relief. Remote electronic access to the transcript is restricted until 01/13/2009. The transcript may be viewed at the Bankruptcy Court Clerk's Office. [For transcriber contact information, call the applicable divisional office where the case was filed.]. Notice of Intent to Request Redaction Deadline Due By 10/22/2008. Redaction Request Due By 11/5/2008. Redaction Deadline Due By 11/17/2008. Transcript access will be restricted through 01/13/2009. (Testing, Transcriber) The following document(s) are associated with this transaction: Document description:Main Document
Original filename:E:\ATTY TRAINING MATERIALS\ATRAINING PDF\Proof of Claim.pdf
Electronic document Stamp:
(STAMP bkecfStamp_ID=1106918562 [Date=10/15/2008] [FileNumber=192266-0]
[bca9334784537380895a3bf6b284230147c3efde102eb060eebdf3f7a54e6ff33e0 a345a0e7abd0c979798e1de7d084c9104e0c0ac55ae7f56713fc1cfae2d56]] 1:08-bk-10029 Notice will be electronically mailed to: Duane Kumagai 1:08-bk-10029 Notice will not be electronically mailed to:

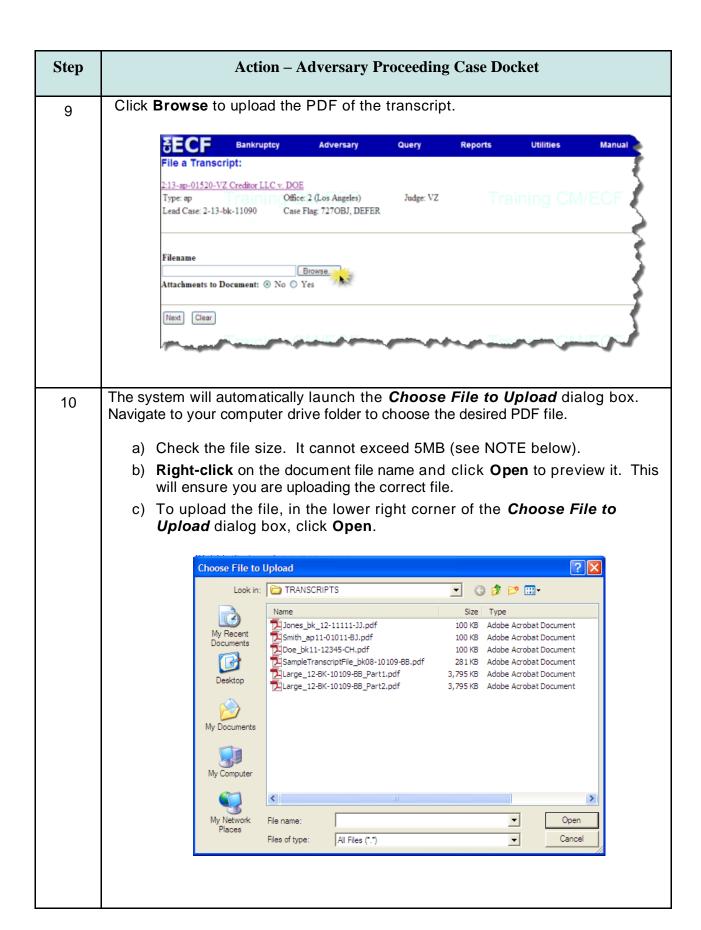
9.3.2. Adversary Proceeding Case Docket Procedures

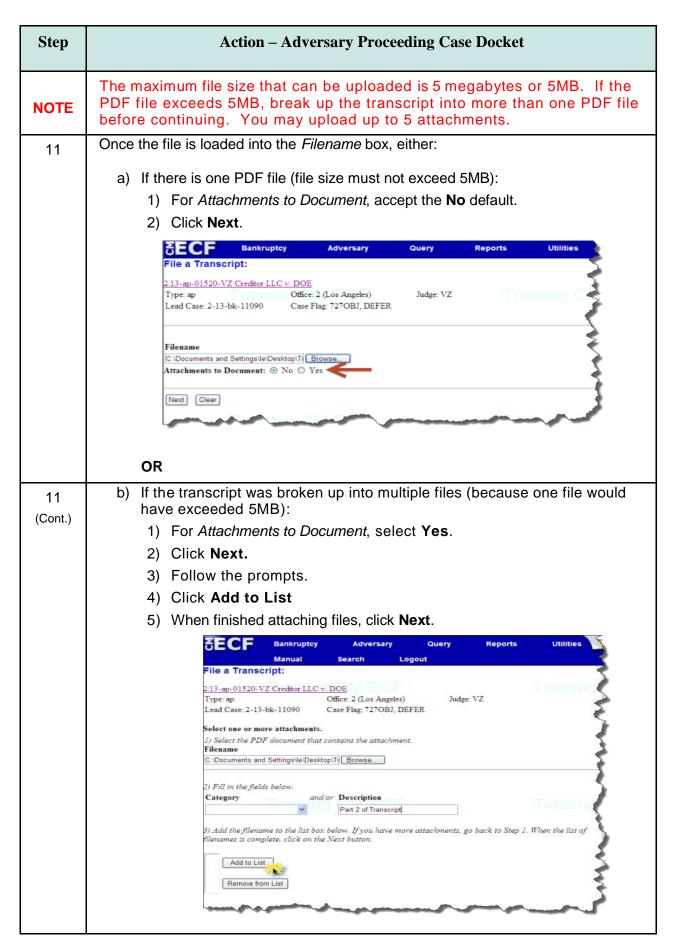
NOTE: Your Transcript title page must be double-captioned and include the main bankruptcy case number as well as the adversary proceeding number (see *Transcript Formatting Standards*).

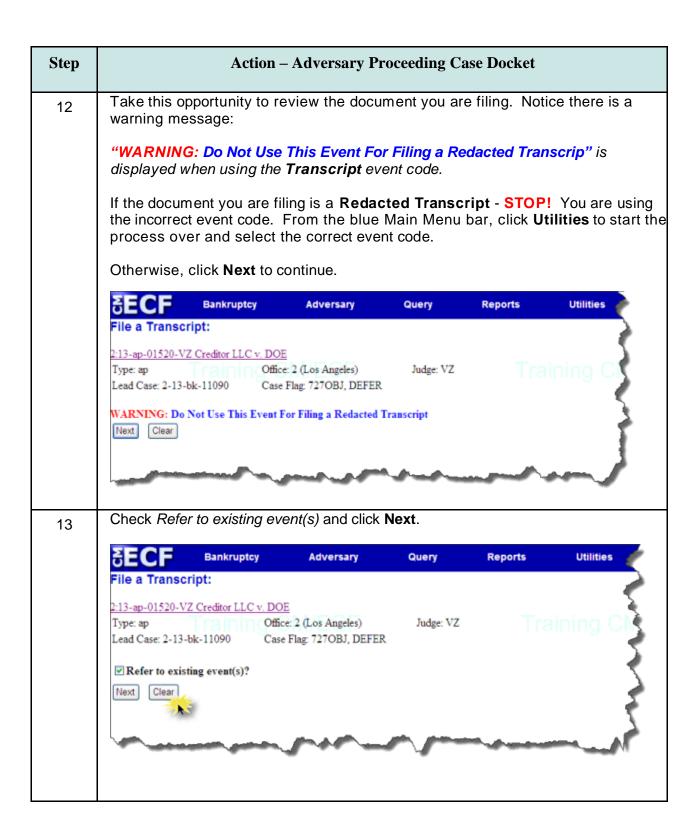


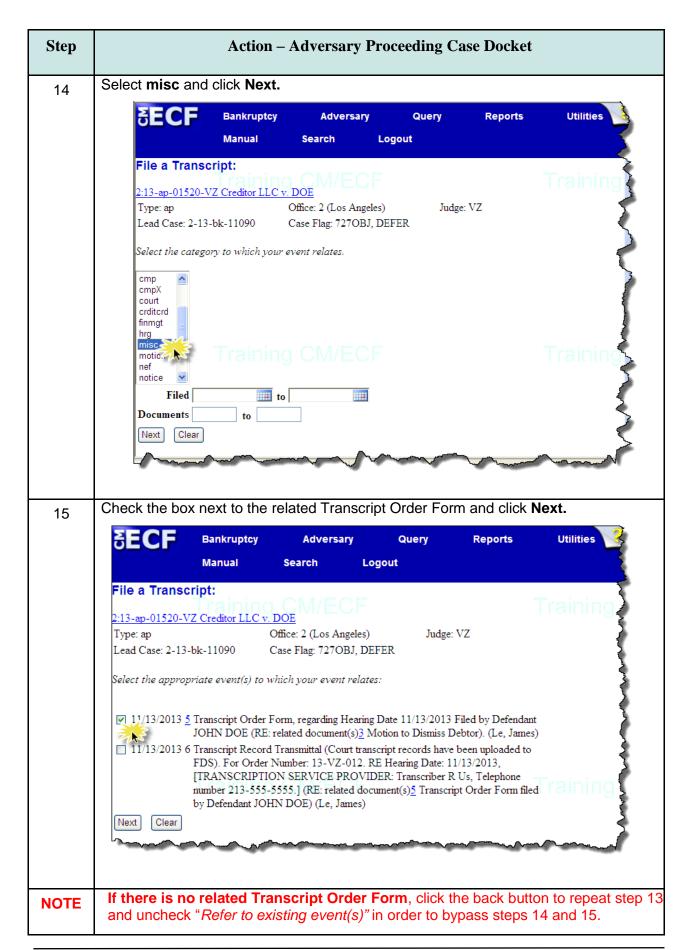




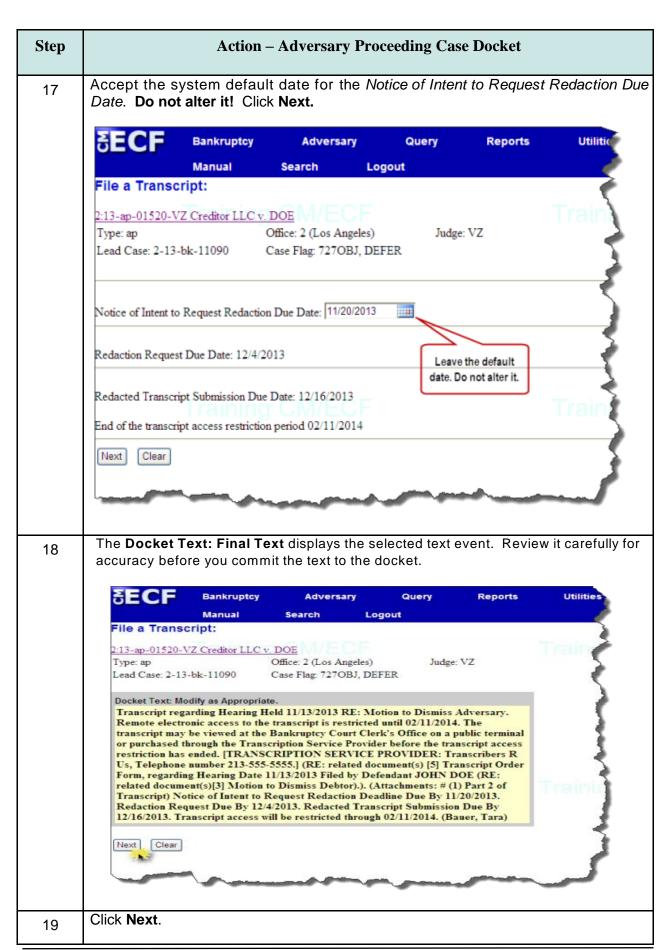






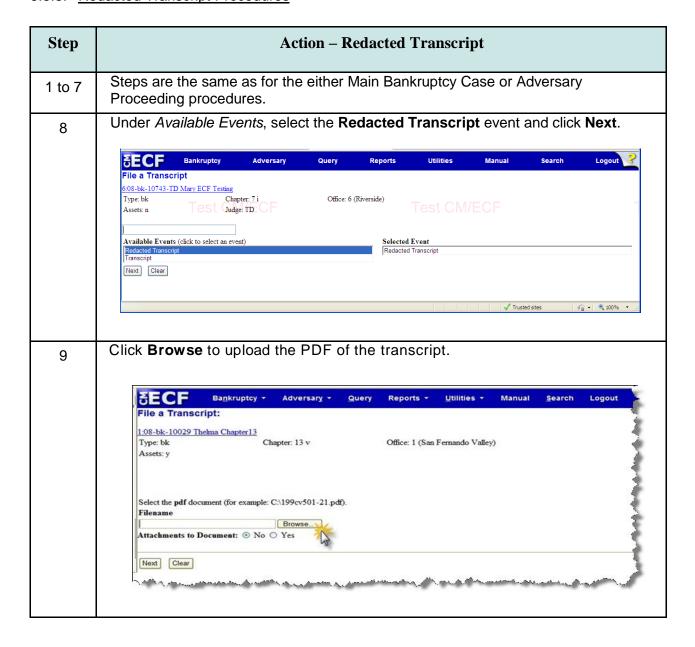


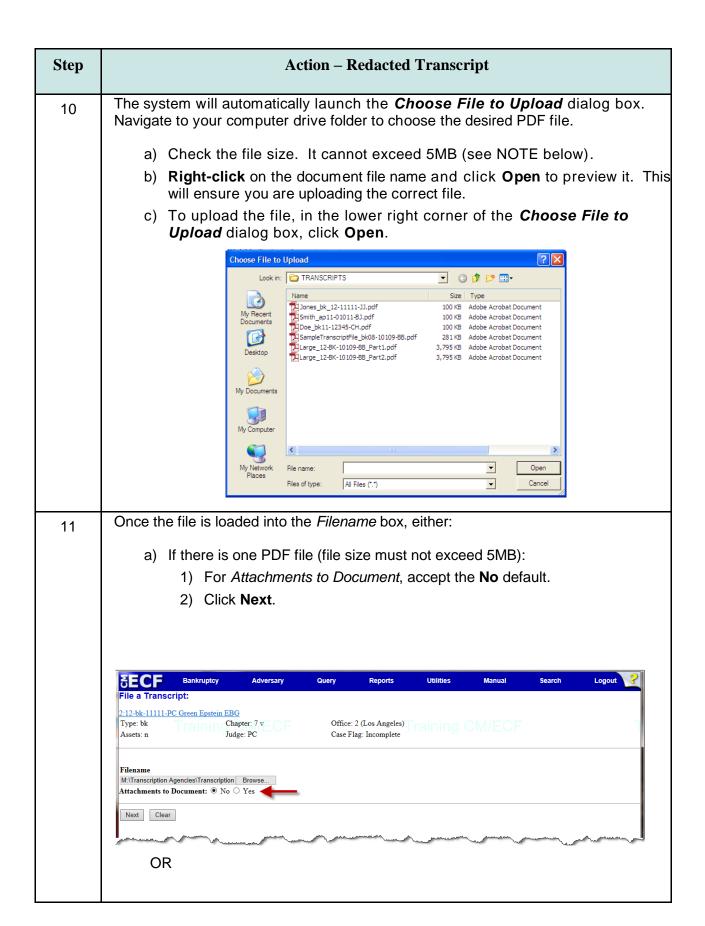
Step	Action – Adversary Proceeding Case Docket				
16	In the applicable text boxes enter:				
	a) Hearing date information (mm/dd/yy)				
	 b) Title of the matter being heard (e.g. Motion for Relief from Automatic Stay, etc.) 				
	c) Transcriber company name				
	d) Transcriber company phone number				
	e) Click Next.				
	Bankruptcy Adversary Query Reports Util.				
	Manual Search Logout				
	File a Transcript:				
	2:13-ap-01520-VZ Creditor LLC v. DOE				
	Type: ap Office: 2 (Los Angeles) Judge: VZ				
	Lead Case: 2-13-bk-11090 Case Flag: 727OBJ, DEFER				
WARNING: DO NOT FORGET To add the required information, including the Transcription Servi Provider/Company Name and Telephone number.					
	Enter Hearing Date Example: MM/DD/YY 11/13/2013				
	Enter the Matter Being Heard tion to Dismiss Adversary				
	Transcription Service Provider/Company Name Transcribers R Us Transcription Service Provider Phone Number 213-555-5555				

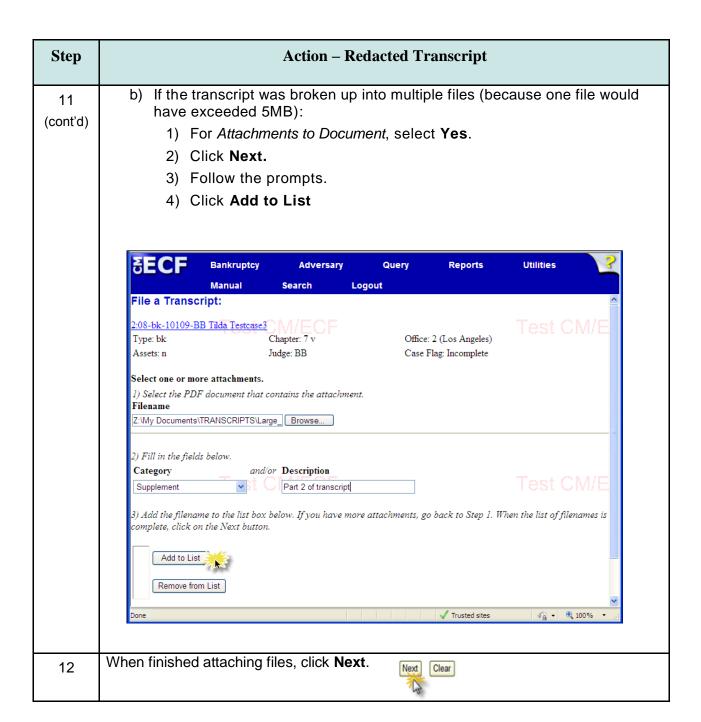


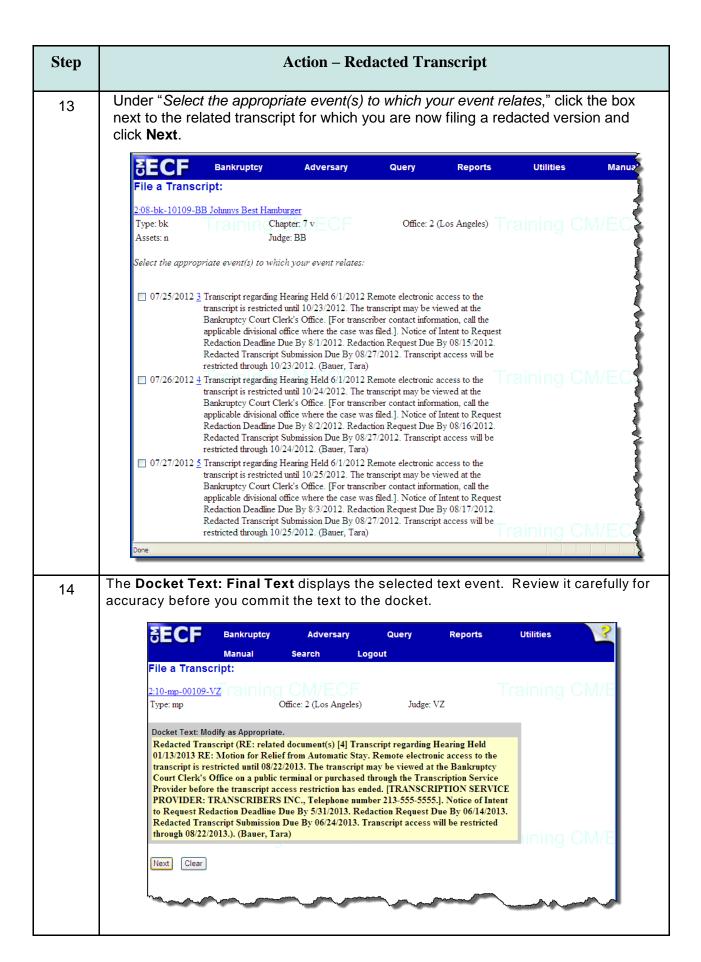
Step	Action – Adversary Proceeding Case Docket					
NOTE	If you realize at this point that you have made a typo, entered the incorrect hearing date, selected the wrong case number or docket event or uploaded the wrong PDF, select Utilities from the blue Main Menu bar and start the process again at step 4.					
20	If the Final Text is correct, to submit this transaction, click Next.					
21	The Notice of Electronic Filing (NEF) is automatically generated and will display. Included in this notice are the date and time the document was filed, the case number and document number issued and electronic service information.					
	Print this notice or save it in your computer for future reference.					
	SECF Bankruptcy Adversary Query Reports Utilities					
	Manual Search Logout					
	Central District Of California					
	Notice of Electronic Filing					
	The following transaction was received from Bauer, Tara entered on 11/13/2013 at 2:54 PM PST and filed on 11/13/2013					
	Case Name: Creditor LLC v. DOE					
	Case Number: 2:13-ap-01520-VZ Document Number: 7					
	Docket Text: Transcript regarding Hearing Held 11/13/2013 RE: Motion to Dismiss Adversary. Remote electronic access to the transcript is restricted until 02/11/2014. The transcript may be viewed at the Bankruptcy Court Clerk's Office on a public terminal or purchased through the Transcription Service Provider before the transcript access restriction has ended. [TRANSCRIPTION SERVICE PROVIDER: Transcribers R Us, Telephone number 213-555-5555.] (RE: related document(s) [5] Transcript Order Form, regarding Hearing Date 11/13/2013 Filed by Defendant JOHN DOE (RE: related document(s)[3] Motion to Dismiss Debtor).). (Attachments: # (1) Part 2 of Transcript) Notice of Intent to Request Redaction Deadline Due By 11/20/2013. Redaction Request Due By 12/4/2013. Redacted Transcript Submission Due By 12/16/2013. Transcript access will be restricted through 02/11/2014. (Bauer, Tara)					
	The following document(s) are associated with this transaction:					
	Document description: Main Document					
	Original filename: C. Documents and Settings le Desktop Transcript Project TEST PDF pdf Electronic document Stamp:					
	[STAMP bkecfStamp_ID=1106918562 [Date=11/13/2013] [FileNumber=639495-0] [8280f3d832c03f1adea0f081cedc12b2e1a3ba6e354dd51b89641d9fa717bdb9f85					
	ac51024d97ff6bf4988cf9765e447cdd04050fa2a537455f8c2a8c98a3c25]]					
	Document description: Part 2 of Transcript Original filename:C:Documents and Settings le Desktop Transcript Project TEST PDF.pdf					
	Electronic document Stamp:					
	[STAMP bkecfStamp_ID=1106918562 [Date=11/13/2013] [FileNumber=639495-1] [75d8d774c07e7abe7d4bc8950d9ffd676793bee3e1349659e82c018f3d222cfbdc0					
	b1f7be5d2768395d004f14dde04e1e8fafaa306296a84411abcd2141d83b7]]					
	2:13 ap-01520-VZ Notice will be electronically mailed to:					
1						

9.3.3. Redacted Transcript Procedures









Step	Action – Redacted Transcript			
NOTE	If you realize at this point that you have made a typo, entered the incorrect hearing date, selected the wrong case number or docket event or uploaded the wrong PDF, select Utilities from the blue Main Menu bar and start the process again at step 4.			
15	If the Final Text is correct, to submit this transaction, click Next.			
16	The Notice of Electronic Filing (NEF) is automatically generated and will display. Included in this notice are the date and time the document was filed, the case number and document number issued and electronic service information. Print this notice or save it in your computer for future reference. Fig. Bankruptcy Adversary Query Reports Utilities Manual Search Logout			
	Docket Text: Transcript regarding Hearing Held 7/18/08 RE: Motion for Relief. Remote electronic access to the transcript is restricted until 01/13/2009. The transcript may be viewed at the Bankruptcy Court Clerk's Office. [For transcriber contact information, call the applicable divisional office where the case was filed.]. Notice of Intent to Request Redaction Deadline Due By 10/22/2008. Redaction Request Due By 11/5/2008. Redacted Transcript Submission Due By 11/17/2008. Transcript access will be restricted through 01/13/2009. (Testing, Transcriber) The following document(s) are associated with this transaction: Document description: Main Document Original filename: E-ATTY TRAINING MATERIALS/ATRAINING PDF/Proof of Claim pdf Electronic document Stamp: [STAMP bleccfStamp, ID=1106918562 [Date=10/15/2008] [FileNumber=192266-0] [Bca9334784537380995a3766284230147-3efdel.02eb606ebdf377a54e6ff33e0] a345a0e7abd0c979798e1de7d084c9104e0c0ac55ac7f56713fc1cfae2d56]] 1:08-bk-10029 Notice will be electronically mailed to: Duane Kumagai 1:08-bk-10029 Notice will not be electronically mailed to:			

9.3.4. Correcting Errors

If after filing a document you realize that an error has been made, contact the ECF Help Desk immediately at:

o Phone: (213) 894-2365, or

Email: ECF_support@cacb.uscourts.gov

o Hours: Monday through Friday, 9:00 a.m. to 4:00 p.m

Although the CM/ECF system is available for your convenience 24 hours/7 days per week, the Clerk's Office staff are only available from 9:00 a.m. to 4:00 p.m., Monday through Friday, to assist you.

By notifying the ECF Help Desk timely, the Clerk's Office can ensure that any and all corrections are made timely. However, docket entries cannot be deleted. The Court will inform you of any corrective action to be taken on your behalf.

10. MONITORING FILED TRANSCRIPTS

10.1. Deadlines

There are four deadlines in the CM/ECF system for monitoring filed transcripts. In order to meet these strict deadlines, transcribers are required to file **Transcripts** and **Redacted Transcripts** electronically.

Deadline	Number of Days From Transcript Filing	
Notice of Intent to Request Redaction	7	
Redaction Request	21	
Redacted Transcript Submission	31	
Release Transcript	90	

- Seven (7) days after the transcript is filed, the attorney or pro se party may file a *Notice of Intent to Request Redaction* of the transcript.
- Twenty-one (21) days after the Notice of Intent to Request Redaction is filed, the attorney or pro se party may file a Redaction Request.
- The transcriber shall file the Redacted Transcript within thirty-one (31) days of the filing of the transcript.

10.2. Responsibility of Attorneys and Transcribers

Both attorneys and transcribers are responsible for adhering to the four deadlines in the CM/ECF system for monitoring transcripts (listed above).

- A. It is the filer's responsibility to:
 - 1) Notify the transcriber of the Redaction Request; and
 - 2) Provide the transcriber with the items to be redacted.

NOTE: Beginning May 1, 2013, Transcriber company name and phone number will be part of the transcript docket entry text.

Beginning July 1, 2013, Transcriber contact information will be posted at www.cacb.uscourts.gov/Transcripts.)

B. The following table lists the **Event**, the responsible party **Filer** and the **Deadlines** within which the documents need to be filed with the court.

Event	Filer	Deadlines
Transcript	Transcriber	PDF restricted to court and public terminal viewing for 90 days
Notice of Intent to Request Redaction	Attorney or Pro Se Party	Must be filed no later than 7 days after the filing of transcript
Redaction Request	Attorney or Pro Se Party	Must be filed within 21 days of the filing of the transcript after filing the notice of the intent to request redaction. PDF of the transcript is restricted to the court and public viewing terminals
Redacted Transcript	Transcriber	Must be filed within 31 days after the filing of the transcript. PDF restricted to the court and public viewing terminals for 90 days

10.3. Redaction Requests - What is Allowed

Federal Rule of Bankruptcy Procedure 9037(a) dictates the following redactions in transcripts of federal court hearings unless the Court orders otherwise:

Item to be Partially Redacted	What is Allowed
SSN or Taxpayer ID:	Last 4 digits
Minor's names:	Minor's initials
Dates of Birth:	Year of birth
Financial Account Numbers:	Last 4 digits

Requests for redaction of additional items not listed above must be made by filing a motion. If granted, a copy of the order, or list of additional items to be redacted, will be forwarded by the requesting party to the transcriber to make the necessary redactions to the transcript.

11. RESTRICTED TRANSCRIPTS

11.1. Access to Restricted Transcripts

Once filed, access to the transcript is automatically restricted and cannot be viewed except at the Court's public computer terminals and by court staff for 90 days. The 90 day restriction is intended to preserve:

- Privacy
- Opportunity for redaction

Attorneys or pro se parties are responsible for reviewing the transcript and requesting applicable redactions.

If no redacted transcript is filed, restriction of the original transcript will be lifted after the 90 days.

If a redacted transcript is filed:

- The original transcript will remain restricted.
- Only the restriction placed on the redacted version of the transcript will be lifted after 90 days.

11.2. Notifying the Court of a Purchased Copy of a Restricted Transcript

Attorneys and appellate judges who purchase a copy of the transcript during the 90-day restriction period will be given remote electronic access to the transcript and any redacted version filed with the Court.

Members of the general public, including pro se parties who purchased the transcript, will not be given electronic access to the transcript and any redacted version filed with the Court during the 90-day period. (It will only be viewable at the Court's public terminals.)

The transcriber will notify the Court (via email) of the purchase of a copy of a filed transcript during the 90-day restriction period so that the purchaser may also be given electronic access to the transcript on the docket. The email to the Court must contain:

- Case name
- Case number
- Full name of the person purchasing the transcript copy
- Confirmation by transcriber of receipt of payment for the transcript copy

Email the division where the case is pending. Below is a list of email addresses and contact information for the divisions

Division Code	Division	Email Address To:	Copy of Email – cc:	Phone No.
1	San Fernando Valley	SV NtcDocActivity@cacb.uscourts.gov	TranscriptsSV@cacb.uscourts.gov	855-460-9641
2	Los Angeles	LA NtcDocActivity@cacb.uscourts.gov	TranscriptsLA@cacb.uscourts.gov	855-460-9641
6	Riverside	RS NtcDocActivity@cacb.uscourts.gov	TranscriptsRS@cacb.uscourts.gov	855-460-9641
8	Santa Ana	SA NtcDocActivity@cacb.uscourts.gov	TranscriptsSA@cacb.uscourts.gov	855-460-9641
9	Northern	ND_NtcDocActivity@cacb.uscourts.gov	TranscriptsND@cacb.uscourts.gov	855-460-9641

12. CONTACTING THE ECF HELP DESK

Phone: (213) 894-2365,

Email: ECF_support@cacb.uscourts.gov

Hours: Monday through Friday, 9:00 a.m. to 4:00 p.m.